

10/585004

AP20 Rec'd PCT/PTO 28 JUN 2006

Our ref: E-1068

Client's ref: E-1068.0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: Erik ENEBAKK :
PCT Application : PCT/NO2004/000003 :
Dated: January 12, 2004 :
Serial No. : To be assigned :
Filed : Concurrently Herewith :
Title : SILICON FEEDSTOCK FOR SOLAR CELLS : Dated: June 28, 2006
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INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed are:

- (1) International Search Report
- (2) International Preliminary Examination Report
- (3) An Office Action dated April 20, 2004 from the Norwegian Patent Office with a partial English translation thereof;
- (4) An Office Action dated July 21, 2004 from the Norwegian Patent Office with a partial English translation thereof;
- (5) An Office Action dated November 2, 2005 from the Norwegian Patent Office with a partial English translation thereof;

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- (6) A SB/08a citing each reference cited in items (1)-(5) above; and
- (7) copies of each article cited in the SB/08a, the non-US Patent Office references cited in the International Search should be provided by the IB.

Respectfully submitted,

LUCAS & MERCANTI, LLP

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DCL/ns

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		
First Named Inventor	Erik Enebak	
Art Unit		
Examiner Name		
Attorney Docket Number	E-1068	

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	4247528	X	1981-01-27	Vishu D. Dosaj et al.	all
	2	5972107	A	1999-10-26	Frederick Schmid et al.	all

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20010020438	A	2001-09-13	Keigo Hoshikawa et al.	all

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FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	1338682	EP	A	2003-08-27	Hemlock Semiconductor Corporation	all	<input type="checkbox"/>
	2	02099166	WO	A	2002-12-12	SINTEF	all	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		
First Named Inventor	Erik Enebakk	
Art Unit		
Examiner Name		
Attorney Docket Number	E-1068	

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	Ciszek T. F. et al., "Alternative Solar-Grade Silicon Feedstock Approaches" NREL Conference Paper, The NCPV Program Review Meeting, Lakewood, Colorado, 14.-17. October 2001	<input type="checkbox"/>
	2	Australian Research Council, University of New South Wales, "Third Generation Photovoltaics 2002 Annual Report"	<input type="checkbox"/>
	3	Tsou Y.S. et al., "Environmentally Benign Silicon Cell Manufacturing", World Conference and Exhibition on Photovoltaic Solar Energy Conversion, 6.-10. July 1998, Vienna Austria	<input type="checkbox"/>
	4	von Keitz A. et al., "Improvement of Low Cost Ceramic Substrates for Use in Thin Film Silicon Solar Cells", World Conference and Exhibition on Photovoltaic Solar Energy Conversion, 6.-10. July 1998, Vienna Austria	<input type="checkbox"/>
	5		<input type="checkbox"/>

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EXAMINER SIGNATURE

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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	
Filing Date	
First Named Inventor	Erik Enebakk
Art Unit	
Examiner Name	
Attorney Docket Number	E-1068

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/DCL/	Date (YYYY-MM-DD)	2006-06-28
Name/Print	Donald C. Lucas	Registration Number	31275

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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